Supervision Chapter:

Subject: **Behavioral Intervention Policy**

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5101: 2-5-13(A)(14); 5101:2-9-21 **ODJFS Rule:**

PA-BSM 1.01,1.02, 2.05; RPM 2.03(e), CR 4 **COA Standard:**

05/12/20; 11/10/21; 4/8/22 Revised:

NRTC's Behavior intervention policy includes: a description of the facility's behavior management program; behavioral intervention procedures; restrictive behavior interventions; procedures for carrying out these provisions; and a description of the credentials of the personnel involved with administering the behavior intervention policy. NRTC's behavior intervention policy and practices comply with the Ohio Administrative Code and meet federal, state and local legal and regulatory requirements.

- 1. NRTC has a multitier system of supports that utilizes a full range of behavior intervention procedures (these include: intervention that is the least intrusive and least disruptive to the youth, positive behavioral interventions, prompted relaxation, and physical restraints) or combination of procedures employed. Below are operational details of the interventions themselves and a definition of each behavior intervention.
 - **Positive Interventions**
 - Home Visit Length: Youth may lose or earn additional home visit time, depending on their behavior throughout the treatment week, if not in contradiction with the placing agency's directives and service plan.
 - Level System: Youth placed in the Behavioral and Therapeutic program will go through the program's three phases; Orientation, Residential and Transition. To move through the phases they will be placed on a level system beginning with Orientation. The youth will move through Levels A, B, C and D by complying with program expectations and earning their treatment week. Level advancements will be determined by the Advancement Board when a youth is eligible for his/her advancement.
 - Phase I- Orientation: The goal of Orientation is to establish youth treatment goals and become familiar with the program. Youth will be assigned a support staff and meet with their Treatment Team monthly, which will consist of at minimum: treatment provider(s), probation officer(s), youth specialist(s), case manager(s) and parent(s)/guardian(s). The team will work together to develop a comprehensive treatment plan while the youth is at NRTC. The plan will outline what tier level services are needed, and the youth will be placed in groups to address those needs. Youth will attend a 3 day orientation to aid in learning the program. To advance to Level A youth will have begun their treatment hours, passed an orientation test and be approved by the Advancement Board. Orientation is a

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minimum of 4 treatment weeks. Youth must come in high response 2 out of the 4 treatment weeks. On Orientation youth can receive visitors (see visitation policy) and two weekly phone calls to parents/guardians. Youth may not go off campus or have additional phone use except to contact legal representation.

- A-Level: During A-Level youth will continue to learn the program and their role in it. Youth will regularly be participating in groups and working individually with their therapist and PO. Youth must come in high response a minimum of 6 treatment weeks. To advance, youth must come in high response the last 2 weeks prior to petitioning the Advancement Board. Privileges will be determined by their ability to meet level expectations and earn Pack Points. A-Level youth will receive three outgoing telephone calls per week and may go off campus under staff supervision, and have various other level-related privileges through Pack Points.
- Phase II-Residential: B-Level: On B-Level youth should have a strong knowledge of the program and treatment goals. During this level youth will be required to work more specifically on goals. Youth must come in high response a minimum of 6 treatment weeks. To advance, youth must come in high response the last 3 weeks prior to petitioning the Advancement Board. On this level youth will be eligible for weekend home passes up to 10 hours. They may have supervised off grounds privileges, 4 outgoing telephone calls per week and have additional personal property in their room. Additional, level-related privileges through Pack Points may be purchased.
- C-Level: On C-Level youth will be required to demonstrate more leadership skills and as a result will begin to earn more freedoms and privileges. Youth must complete required treatment hours per the service plan and are encouraged to obtain a facility job and/or Community Resource. Youth must come in high response a minimum of 6 treatment weeks. To advance, youth must come in high response the last 4 weeks prior to petitioning the Advancement Board. On this level youth will be eligible for overnight weekend home passes. Youth may earn up to 5 outgoing telephone calls per week, room time, and other off ground privileges through Pack Points. During this level, they will focus on aftercare/discharge planning. Youth will be allowed to use the RTA bus for transportation. Youth must complete all community service and/or restitution work to advance to Phase III.
- <u>Phase III-Transition: D-Level:</u> D-Level is where youth begin transitioning back into the community, putting the aftercare plan in place. Youth will be eligible to earn extended overnight home passes

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and may return to school in the community. Youth will have the opportunity to earn additional home time based on level response and participation in a Community Resource. Treatment hours will be determined by the team and may vary from youth to youth dependent on need and their specific aftercare plan. Youth must come in high response a minimum of 6 treatment weeks. Successful completion will be based on a variety of factors included in the discharge plan and Treatment Team recommendations.

- Youth placed in the 45-day program will not be placed on the level system. They will have the ability to earn high or low response based on their treatment week behaviors through the point system.
- Each youth's length of stay and program services may differ due to a variety of factors (age, behaviors, needs, court orders, case plan) and will be reflected on their service plan.
- Pack Points: Tier 1 positive intervention. Points are given to youth to reward behavior that goes above and beyond program expectations or is a noted improvement for an individual. Pack points cannot be taken away. Tangible and non-tangible incentives can be purchased with Pack Points such as candy/snacks, additional hygiene products, lunch with staff, etc.
- Points: Youth will earn 5 points per hour or schedule block (i.e. class period or treatment group) during regular waking hours. This is a total of 75 points per day. Youth will fail to earn these points if they do not restitute fines, have continuous behavior issues or earn a Severe Conduct Violation (SCV). Based on the amount of points earned youth will come in "high", "neutral" or "low" response. Youth coming in high response will be able to earn program privileges and their treatment week. Youth coming in neutral will be encouraged to do better as coming in neutral two weeks in a row will result in that youth not earning their treatment week and not being able to participate is some recreational and program privileges. Youth coming in low will not earn their treatment week and may have restricted recreational and program privileges, such as early bedtime. Items and privileges defined in OAC rules cannot be lost, such as calls to the youth's case worker, probation officer, CASA, GAL, etc.
 - Weekly Status:

High Response: A>465; B>475; C>489; D>500 Neutral Response: A 455-465; B 465-475;

Low Response: A 454 and below; B 464 and below; C 489 and below; D

500 and below

 When youth earn high response they earn their week and move through the program. Earning neutral or low response will stall progress and restrict some facility privileges. Earning low response will result in loss of home

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time and off grounds privileges. Youth fail to earn points by receiving fines and not restituting them.

- <u>Positive Touch</u>: Appropriate touch within youth's comfort zone, used by staff to make a youth feel secure, cared for and happy.
- Recreational Activities: On and off grounds activities that youth are able to participate in during free time and/or may purchase with points earned with appropriate behavior. Youth earning Low Response or Activity Restriction lose various level-related privileges. Items and privileges defined in OAC rules cannot be lost, such as calls to the youth's attorney, caseworker, custodial agency worker, probation officer, CASA or GAL.

<u>Negative Interventions</u>: The following is a description of the use of restrictive behavior interventions, behavior management techniques or aversive procedures:

- Verbal Intervention: Staff members are encouraged to set limits, give frequent verbal feedback, and/or redirection to youth to address and direct their behavior, including prompted relaxation and youth request for time out.
 - Behavior Matrix- NRTC uses a behavior matrix that identifies the facility expectations. These desired behaviors are taught, modeled and reinforced by staff. See addendum: PACTS Expectations Behavior Matrix
- Therapeutic Intervention / Fine: Tier 1 intervention utilizing written documentation describing the youth's PACTS expectations violation. Youth can be held accountable from the following focus areas: Positive Behavior and Attitude; Appropriate Work Ethic; Communicating Effectively; Time Management & Social Interaction. Youth may restitute (take ownership) to earn back points lost from fines.
- Activity Restriction (AR): Tier 2 intervention where youth temporarily lose recreational and program privileges during the time under restriction, depending on the behavior and intervention used, a 1, 2, or 4 hour AR can be implemented.
- Severe Conduct Violation (SCV): Tier 2 intervention used for a major rules violation in one of the following areas; substance abuse, destruction of property, assaultive behavior, unauthorized leave, stealing/contraband, inappropriate sexual conduct and serious misconduct. Youth earning an SCV: must complete a written restitution; lose privileges and have an activity restriction for a predetermined amount of time (2 hours for restituted SCVs and 4 hours for non-restituted SCVs); and fail to earn points for the treatment week.
- Physical Intervention: Youth who become a threat to themselves or others and who do not respond with verbal redirection and de-escalation techniques may need the use of physical restraint and separation to ensure safety and protection of the youth, to protect another person from a youth and for self-protection of the youth and others. Staff are trained in nonviolent Crisis Prevention Intervention which utilizes Team Control, Seated Position (low, medium, high), Standing Position (low,

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medium, high) and Child's Position; along with OPATA developed Subject Control variations of Body Locks: Standing (front, side, back) and Seatbelt Standing (back and side). Physical intervention may only be used as a last resort if the youth presents harm to self and/or others (See Policy 8.2).

- 2. NRTC has the following procedures for the identification of instances in which the restrictive behavior interventions & techniques listed above may be contraindicated:
 - Youth are screened prior to placement to assess their functioning level, so the likelihood that any of the behavior management techniques would be contraindicated is reduced. In addition, each youth is assessed by a registered or licensed health care professional and a licensed social worker, counselor, psychologist, or psychiatrist to determine whether there are medical, psychological or developmental contraindications to the use of any of the techniques in the behavior management plan. This includes the following:
 - Antecedents to harassing, violent or out-of-control behaviors;
 - The effectiveness of previous uses of behavioral interventions;
 - Psychological and social factors that can influence us of such interventions;
 - Medical conditions or factors that could put the youth at risk.
 - Disciplinary procedures shall be humane, instructive and shall be administered with fairness, consistency and respect and regardless of the youth's race, sex, religion or cultural heritage. Discipline shall be administered only by persons who are administrators or employees with direct care responsibilities. Youth shall not discipline other youth. All cruel and unusual punishments/ practices and chemical restraints are prohibited.
- 3. Punishment for actions over which the youth has no control such as bedwetting, enuresis or encopresis are prohibited. Behavior interventions for youth with disabilities will be assessed on an individual basis to determine the appropriate intervention for that youth.
 - Youth with serious physical or developmental disabilities will be screened by NRTC and South Community to determine if they can adequately participate in treatment. The Court or Children's Services will refer youth not accepted to NRTC to programs that more appropriately meet their individual needs.
- 4. The credentials of the personnel involved in designing, approving, implementing, monitoring, and supervising the implementation of the behavior interventions are as follows:
 - Personnel involved in designing and approving the behavior interventions shall have a master's degree and/or professional licensure (LPC, LSW, etc). Staff members who implement the interventions shall have at least a high school diploma, and those who monitor and supervise the implementation of the behavior interventions shall have at least a bachelor's degree.

Facility Setting	Positive Attitude and Behavior	Appropriate Work Ethic	Communicate Effectively	Time Management	Social Interactions
Unit	 Maintain positive behaviors Be kind Read and understand the student handbook Take pride in your appearance If you need something, notify Staff 	Keep area clean and organized Turn in all contraband Maintain appropriate appearance Follow Staff directions Understand expectations	Tell the truth Listen to and accept informational feedback Cooperate with others Maintain appropriate voice level Keen noise at a	Be punctual to scheduled activities Use free time wisely Practice self-regulation Study when appropriate	Provide correct information to peers Assist lower-level peers Respect the opinion of others Keep issues small
Bedrooms	 Wear appropriate bed clothing Take pride in your room Only 2 books in your room 	 Know the laundry schedule Clean bed linens during wash times Keep room neat Make bed (to standard) daily Only allowable items 	 Keep noise at a minimum Use appropriate language Keep personal belongings in room Recognize what clothing & shoes are allowed Notify Staff of any damages 	 Go to bed on time Get up on time Stay in room at bedtime Dress in the appropriate clothing for the season and activity 	 Respect your neighbors Use room for intended purpose Keep private parts private Only enter your assigned room Keep possession of your own clothing
Bathroom	 Place towels and wash rags in appropriate places Use appropriate self-care items Respect other's privacy 	 Throw away trash Wash hands Flush toilet Keep bathroom clean 	 Follow instructions Be respectful Acknowledge Staff instructions immediately 	 Perform self-care in a timely manner Use proper hygiene methods Follow shower-time expectations 	 Take turns Use your own self-care items Excuse yourself Use assigned restrooms

Facility Setting	Positive Attitude and Behavior	Appropriate Work Ethic	Communicate Effectively	Time Management	Social Interactions
Dining Hall	 Proper table manners Respect other's space Give positive feedback Positive talk 	 Complete all jobs and duties to standard Clean up messes and spills Take plates and utensils to kitchen 	 Be considerate of others Follow seating expectations Quiet voices during meal times Enter and exit quietly 	 Begin meals on time Finish meals on time Follow the schedule Complete weekly job 	 Cooperate with peers Be patient and wait your turn Model positive behavior
Gym or Recreation Yard	 Positive participation Challenge yourself Never give up Have fun Use skills 	 Follow set rules of the activity Use tools for intended purposes Return equipment to appropriate spot 	 Use appropriate Language Use appropriate voice level Include all Cooperate with peers 	 Be ready to participate Follow medical restrictions Take turns Understand expectations 	 Display good sportsmanship Use teamwork Remain in line of sight Maintain physical boundaries
Hallways and Line Expectations	Walk Follow Staff directions immediately Respect others Be accountable to others	 Remain facing forward Be accountable Walk on the right side of the hallway Complete all jobs and duties Maintain proper appearance 	 Report whereabouts Remain quiet Be where you belong Respect other's conversations 	 Follow daily schedule Practice self-regulation Stay on task Be ready when called to line up Use program tools 	 Keep hands and feet in personal space Respect other's space Keep hallways clear
Computers	 Challenge yourself Strive for excellence 	 Follow Staff directions Use your own password Access only allowable websites 	 Ask for help when needed Respect the equipment 	 Use resources responsibly Follow computer contract Understand expectations 	 Work quietly Respect other's personal space

Facility Setting	Positive Attitude and Behavior	Appropriate Work Ethic	Communicate Effectively	Time Management	Social Interactions
Library	 Challenge yourself Be kind Model positive behaviors 	 Be neat and organized Return materials to the correct area 	 Check all items in and out Notify Staff of damages Embrace learning 	 Respect library resources and displays Take accountability 	 Quiet voices Respect other's personal space
Classroom	 Be confident in learning ability Be open to learning Actively engage in the learning process Take ownership Respect the learning environment 	 Use resources wisely Ask specific questions if not understanding material Complete all assignments Self-assess work regularly Set learning goals 	 Practice active listening Stay on topic during discussions Use appropriate, non-offensive language Respond to instructions Maintain eye contact 	 Be on time to class Come to class prepared Use class time for assigned task Turn in assignments when due 	 Cooperate with peers Help those who have questions Encourage others Protect the NRTC community
Medical	 Act appropriately for the situations Be polite and courteous 	 Follow medical directions Be accountable for requests and needs Take medications as prescribed 	 Ask appropriate questions Learn your medications Use medical diagnosis as a learning opportunity 	 Place all medical requests in a timely manner Be patient 	Be respectful of other's privacy Respect other's fears and concerns
Vehicles	 Safety first Follow Staff direction immediately Display a positive attitude 	 Properly dispose of trash Lock all windows and doors upon return Use seatbelts 	 Use appropriate language Use inside voices Choose appropriate conversations topics 	 Practice self-regulation Be prepared Be punctual 	 Same seats there and back Stay seated Follow agency rules Keep hands and feet in personal space

Facility Setting	Positive Attitude and Behavior	Appropriate Work Ethic	Communicate Effectively	Time Management	Social Interactions
Off Campus	 Encourage others Maintain positivity Take care of all property and equipment 	 Actively participate in activities Dress appropriately Dispose of trash appropriately Willingness to help Staff and peers 	 Use appropriate language Immediately respond to instructions Show respect to all Practice active listening Be an ambassador of NRTC 	 Submit trip plans for off campus activities Keep whereabouts known Adhere to the schedule Be on time 	 Behave properly Support positive behaviors Follow all rules Keep hands and feet in personal space
Activity Room	 Maintain positive behaviors Be kind Read and understand the student handbook Take pride in your appearance If you need something notify Staff 	 Keep area clean and organized Turn in all contraband Maintain appropriate appearance Follow Staff directions Understand expectations 	 Tell the truth Listen to and accept informational feedback Cooperate with others Maintain appropriate voice level 	 Be punctual to scheduled activities Use free time wisely Practice self-regulation Study when appropriate Take turns playing games and using equipment 	 Provide correct information to peers Assist lower-level peers Respect other's opinions Keep issues small